



# Nexia Levitt Kirson

CHARTERED ACCOUNTANTS (SA)  
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11 May 2018

To whom it may concern,

## **SWORN AFFIDAVIT TO CONFIRM B-BBEE STATUS OF THORPE AND HANDS INCORPORATED**

Find attached to this covering letter a sworn affidavit relating to the B-BBEE Status level of Thorpe and Hands Incorporated.

The sworn affidavit indicates that Thorpe and Hands Incorporated qualifies as an Exempt Micro Enterprise (EME) under the Broad-Based Black Economic Empowerment Act, Act No 53 of 2003, as amended (B-BBEE Act) and the Amended Codes of Good Practice as issued under section 9 of the B-BBEE Act per Notice 1019, Gazette No 36928 of 11 October 2013 as amended by Notice 407, Gazette No 38765 of 6 May 2015 (the Amended Codes). The Amended Codes came into effect on 1 May 2015 in accordance with Notice 444, Gazette No 38799 of 15 May 2015.

Thorpe and Hands Incorporated has a B-BBEE Status Level of Four that applies for the 12 month period from the date signed by the Commissioner of Oaths. As an EME, the entity is an Empowering Supplier.

We wish to draw the attention of recipients and users of the attached sworn affidavit to the following matters relating to its validity:

- We are unable to provide you with a B-BBEE verification certificate, as was required under the old Codes of Good Practice that have now been replaced by the Amended Codes, as the Amended Codes do not provide for the issue of B-BBEE certificates to EMEs with two exceptions. The two exceptions being start-up enterprises or EMEs that voluntarily decide to be measured in terms of the Qualifying Small Enterprise (QSE) scorecard.
- Paragraph 4.5 of the Amended Code Series 000 Gazette No 369 as amended by Gazette No 38765 states "An EME is only required to obtain a sworn affidavit or Certificate issued by Companies and Intellectual Property Commission (CIPC) on an annual basis" confirming its annual total revenue (R10 million or less) and its level of black ownership.

Therefore, an EME can present ONE of the following as a valid proof of its B-BBEE status level:

- A sworn affidavit confirming its B-BBEE Status Level in accordance with its declaration of annual total revenue and level of black ownership; or
- A B-BBEE certificate issued by CIPC, if the EME is a start-up enterprise; or
- A B-BBEE verification certificate issued in terms of the QSE scorecard, if the EME has voluntarily decided to be measured in terms of the QSE scorecard.





**Nexia  
Levitt Kirson**

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We wish to declare that Thorpe and Hands Incorporated is not a start-up enterprise as contemplated in the Amended Codes, nor has the entity decided to be measured under the QSE scorecard. The only proof that the entity can provide with respect of its B-BBEE Status Level, is in the form of the attached sworn affidavit.

This affidavit is in the format as proposed by the DTI (which template is available on the DTI website).

The entity and its shareholder, further acknowledge and accept that any misinterpretation of information as contained in the attached sworn affidavit constitutes a criminal offence as set out in the B-BBEE Act (Paragraph 4.5 of the Amended Code Series 000 Gazette No 36928 as amended by Gazette No 38765).

Regards

A handwritten signature in black ink, appearing to read 'D Steedman'.

**DJ Steedman**  
Partner

## SWORN AFFIDAVIT – B-BBEE EXEMPTED MICRO ENTERPRISE

I, the undersigned,

Full name and Surname	<b>RICHARD GERALD PEARTON</b>
Identity number	<b>5703055069086</b>

Hereby declare under oath as follows:

1. The contents of this statement are to the best of my knowledge a true reflection of the facts.
2. I am a director of the following enterprise and am duly authorised to act on its behalf:

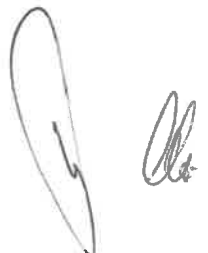
Enterprise Name	<b>THORPE AND HANDS INCORPORATED</b>
Trading Name	<b>THORPE AND HANDS INCORPORATED</b>
Registration Number	<b>2000/018438/21</b>
Enterprise address	<b>4<sup>th</sup> Floor, 6 Durban Club Place, Durban, 4001</b>

3. I hereby declare under oath that:

- The enterprise is **0%** black owned ;
- The enterprise is **0%** black woman owned ;
- Based on the management accounts and other information available for the financial year ended **31 October 2017**, the income did not exceed R10 000 000.00 (ten million rand);
- Please confirm on the table below the B-BBEE level contributor, **by ticking the applicable box.**

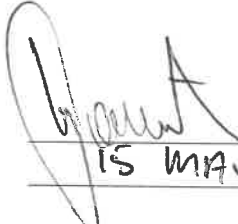
100% black owned	Level One (135% B-BBEE procurement recognition)	<b>N/A</b>
At least or more than 51% black owned	Level Two (125% B-BBEE procurement recognition)	<b>N/A</b>
Less than 51% black owned	Level Four (100% B-BBEE procurement recognition)	<b>✓</b>

4. The entity is a deemed empowering supplier in terms of the **DTI Codes of Good Practice.**



5. I know and understand that the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the owners of the enterprise which I represent in this matter.
6. The sworn affidavit will be valid for a period of 12 months from the date signed by a commissioner.

**Deponent  
Signature  
Date**

  
15 MAY 2018



**Commissioner of Oaths  
Signature & stamp**

CHRISTOPHER JOHN DENIS GLENDING  
COMMISSIONER OF OATHS  
PRACTISING ATTORNEY R.S.A.  
5TH FLOOR  
6 DURBAN CLUB PLACE  
DURBAN 4001

15/5/2018